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BAKER BOTTS LLP

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/975,114
	Filing Date	October 11, 2001
	First Named Inventor	Tomonari Ohtsuki
	Group Art Unit	2839
	Examiner Name	Nguyen, Khiem M.
Total Number of Pages in This Submission	Attorney Docket Number	A34699 070793.0139

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input checked="" type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): See below
Remarks <input checked="" type="checkbox"/>		
1. Copy of Office Action dated August 27, 2003. 2. A copy of the docket record for March 18, 2003 and June 18, 2003. 3. Return receipt postcard.		

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 SEP 26 2003  
 TECHNOLOGY CENTER 2800

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	BakerBotts LLP 30 Rockefeller Plaza New York, NY 10112	
Signature	<i>Eric J. Faragi</i>	Att Name: Eric J. Faragi PTO Reg: 51,259
Date	September 11, 2003	

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: September 11, 2003

Typed or printed name	Eric J. Faragi	
Signature	<i>Eric J. Faragi</i>	Date: September 11, 2003

# BAKER BOTTS LLP

## FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ ) 0

### Complete if Known

Application Number	09/975,114
Filing Date	October 11, 2001
First Named Inventor	Tomonari Ohtsuki
Examiner Name	Nguyen, Khiem M.
Group Art Unit	2839
Attorney Docket No.	A34699 070793.0139

### METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 02-4377  
Deposit Account Name: Baker Botts LLP

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
740	370	Utility filing fee	
330	165	Design filing fee	
510	255	Plant filing fee	
740	370	Reissue filing fee	
160	80	Provisional filing fee	

SUBTOTAL (1) (\$ ) 0

#### 2. EXTRA CLAIM FEES

Total Claims: 20 \*\* = 0 X Fee from below = 0  
Independent Claims: 3 \*\* = 0 X Fee from below = 0  
Multiple Dependent: 0 X Fee from below = 0

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description
18	9	Claims in excess of 20
84	42	Independent claims in excess of 3
280	140	Multiple dependent claim, if not paid
84	42	** Reissue independent claims over original patent
18	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ ) 0

\*\*or number previously paid, if greater; For Reissues, see above

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for <i>ex parte</i> reexamination	
920*	920*	Requesting publication of SIR prior to Examiner action	
1,840*	1,840*	Requesting publication of SIR after Examiner action	
110	55	Extension for reply within first month	
400	200	Extension for reply within second month	
920	460	Extension for reply within third month	
1,440	720	Extension for reply within fourth month	
1,960	980	Extension for reply within fifth month	
320	160	Notice of Appeal	
320	160	Filing a brief in support of an appeal	
280	140	Request for oral hearing	
1,510	1,510	Petition to institute a public use proceeding	
110	55	Petition to revive - unavoidable	
1,280	640	Petition to revive - unintentional	
1,280	640	Utility issue fee (or reissue)	
460	230	Design issue fee	
620	310	Plant issue fee	
130	130	Petitions to the Commissioner	
50	50	Processing fee under 37 CFR 1.17(q)	
180	180	Submission of Information Disclosure Stmt	
40	40	Recording each patent assignment per property (times number of properties)	
740	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
740	370	For each additional invention to be examined (37 CFR § 1.129(b))	
740	370	Request for Continued Examination (RCE)	
900	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 0

### SUBMITTED BY

Name (Print/Type)	Eric J. Faragi	Registration No. (Attorney/Agent)	51,259	Telephone	212.408.2591
Signature	<i>Eric J. Faragi</i>	Date	September 11, 2003		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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Title: ELECTRICAL CONNECTOR

Use Space Below for Additional Information:



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1459  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/975,114	10/11/2001	Tomonari Ohtsuki	A34699	8767

21003 7590 08/27/2003

BAKER & BOTTS  
30 ROCKEFELLER PLAZA  
NEW YORK, NY 10112



EXAMINER

NGUYEN, KHIEM M

ART UNIT PAPER NUMBER

2839

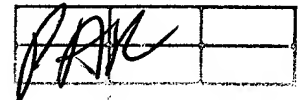
DATE MAILED: 08/27/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

BAKER BOTTS L.L.P.

5pt-3  
03 AUG 2004 AM 9: 50

TO





**UNITED STATES DEPARTMENT OF COMMERCE**  
**United States Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO.
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09/975114

10/11/01

OHTSUKI

EXAMINER
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ART UNIT	PAPER NUMBER
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5

DATE MAILED:

**NOTICE OF ABANDONMENT**

This application is abandoned in view of:

- ☒ Applicant's failure to timely file a proper reply to the Office letter mailed on 12/18/02.
- ☐ A reply (with a Certificate of Mailing or Transmission of \_\_\_\_\_) was received on \_\_\_\_\_, which is after the expiration of the period for reply (including a total extension of time of \_\_\_\_\_ month(s)) which expired on \_\_\_\_\_.
- ☐ A proposed reply was received on \_\_\_\_\_, but it does not constitute a proper reply under 37 CFR 1.113 to the final rejection.  
(A proper reply under 37 CFR 1.113 to a final rejection consists only of: (1) a timely filed amendment which places the application in condition for allowance; (2) a timely filed Notice of Appeal (with appeal fee); or (3) a timely filed Request for Continued Examination (RCE) in compliance with 37 CFR 1.114).
- ☒ No reply has been received.
- ☐ Applicant's failure to timely pay the required issue fee and publication fee, if applicable, within the statutory period of three months from the mailing date of the Notice of Allowance (PTOL-85).
- ☐ The issue fee and publication fee, if applicable, was received on \_\_\_\_\_ (with a Certificate of Mailing or Transmission dated \_\_\_\_\_), which is after the expiration of the statutory period for payment of the issue fee (and publication fee) set in the Notice of Allowance.
- ☐ The submitted fee of \$ \_\_\_\_\_ is insufficient. A balance of \$ \_\_\_\_\_ is due.  
The issue fee required by 37 CFR 1.18 is \$ \_\_\_\_\_ The publication fee, if required by 37 CFR 1.18(d), is \$ \_\_\_\_\_.
- ☐ The issue fee and publication fee, if applicable, has not been received.
- ☐ Applicant's failure to timely file new formal drawings as required by, and within the three-month period set in, the Notice of Allowability (PTOL-37).
- ☐ Proposed new formal drawings were received on \_\_\_\_\_ (with a Certificate of Mailing or Transmission dated \_\_\_\_\_), which is after the expiration of the period for reply.
- ☐ The proposed new formal drawings filed on \_\_\_\_\_ are not acceptable and the period for reply has expired.
- ☐ No proposed new formal drawings have been received.
- ☐ The letter of express abandonment which is signed by the attorney or agent of record, the assignee of the entire interest, or all of the applicants.
- ☐ The letter of express abandonment which is signed by an attorney or agent (acting in a representative capacity under 37 CFR 1.34(a)) upon the filing of a continuing application.
- ☐ The decision by the Board of Patent Appeals and Interferences rendered on \_\_\_\_\_ and because the period for seeking court review of the decision has expired and there are no allowed claims.
- ☐ The reason(s) below:

*Khiem Nguyen*  
**KHIEM NGUYEN**  
**PRIMARY EXAMINER**

\*U.S. GPO: 2000-472-999/43202

Monday, September 08, 2003

# Call Up Report

Page: 1

From: 18-Mar-2003 To: 19-Mar-2003

CALLUPS FOR RAGUSA, P.A.  
EXTENSION 2588

Case Number	US	Atty(s) Inventor(s)	Action Due	Date Due	Bill Code	Type
35588	US		IDS, IF ANY, DUE IDS, IF ANY, DUE Due Date	19-Mar-2003	BARKER, BRETTTEL	PAT
35588	US		Information Disclosure Stmt Information Disclosure Stmt Due Date	19-Mar-2003	BARKER, BRETTTEL	PAT

Monday, September 08, 2003

## Call Up Report

Page: 1

From: 18-Jun-2003 To: 19-Jun-2003

CALLUPS FOR RAGUSA, P.A.  
EXTENSION 2588

Case Number	Atty(s)	Inventor(s)	Action Due	Date Due	Bill Code	Type
31816-I	US	HYT LURYI ET AL.	Foreign Filing Foreign Filing 6 mon reminder Due Date	18-Jun-2003	RESEARCH FOUNDATION OF SUNY	PAT
31816-II	US	HYT LURYI ET AL.	Foreign Filing Foreign Filing 6 mon reminder Due Date	18-Jun-2003	RESEARCH FOUNDATION OF SUNY	PAT
31816-III	US	HYT LURYI ET AL.	Foreign Filing Foreign Filing 6 mon reminder Due Date	18-Jun-2003	RESEARCH FOUNDATION OF SUNY	PAT
31816-IV	US	HYT LURYI ET AL.	Foreign Filing Foreign Filing 6 mon reminder Due Date	18-Jun-2003	RESEARCH FOUNDATION OF SUNY	PAT

Monday, September 08, 2003

## Call Up Report

Page: 2

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CALLUPS FOR RAGUSA, P.A.  
EXTENSION 2588

Case Number	Atty(s)	Inventor(s)	Action Due	Date Due	Bill Code	Type
31816-V	US	HYT LURYI ET AL.	Foreign Filing Foreign Filing 6 mon reminder Due Date	18-Jun-2003	RESEARCH FOUNDATION OF SUNY	PAT
31470-PCT-USA	US	HYT KAO, ET AL.	US-3 MONTH OA 3 MON DUE DATE Due Date	18-Jun-2003	RESEARCH FOUNDATION OF SUNY	PAT
35532-A	US	AROUSSEI ET AL.	US-MISS PRT - 2 FINAL DEADLINE Final	18-Jun-2003	BARKER, BRETTTEL	PAT

RESPONSE MAILED 3/17/03 C.M.



Monday, September 08, 2003

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35588	US	Information Disclosure Stmt Information Disclosure Stmt Due Date	19-Mar-2003	BARKER, BRETTELL	PAT

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31816-II	US HYT LURYI ET AL.	Foreign Filing Foreign Filing 6 mon reminder Due Date	18-Jun-2003	RESEARCH FOUNDATION OF SUNY	PAT
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31816-IV	US HYT LURYI ET AL.	Foreign Filing Foreign Filing 6 mon reminder Due Date	18-Jun-2003	RESEARCH FOUNDATION OF SUNY	PAT

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35532-A	US AROUSSI ET AL.	US-MISS PRT - 2 FINAL DEADLINE Final	18-Jun-2003	BARKER, BRETTTELL	PAT

RESPONSE MAILED 3/17/03 C.M.